



2812

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:  
Tomoe YAMAMOTO

**Appln. No.:** 09/450,351

**Filed:** November 29, 1999

**For: METHOD FOR MANUFACTURING A  
SEMICONDUCTOR DEVICE**

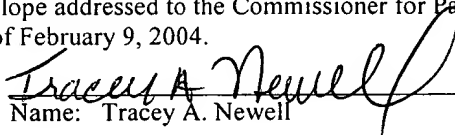
**Art Unit:** 2812

**Examiner:** TSAI, H.

**Docket No.:** SHM-00901

**Certificate of Mailing**

I hereby certify that the foregoing documents are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date of February 9, 2004.

  
Name: Tracey A. Newell

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicants and/or their attorney in compliance with the requirements of 37 C.F.R. 1.56. Copies of the documents are also being submitted.

The references submitted herewith were cited by the Japanese Patent Office in an December 18, 2003 Office Action (copy enclosed) for a counterpart application. Since this Information Disclosure Statement is being filed within three months of that date and prior to a Notice of Allowance, no fee is due.

In compliance with the requirements of 37 C.F.R. §1.98(a)(3), as a concise statement of relevance, as it is presently understood by the individual designated in 35 U.S.C. §1.56(c) most knowledgeable about the content of the information, the undersigned attorney of record submits a translation of portions of an official action by a foreign examiner in which the references were

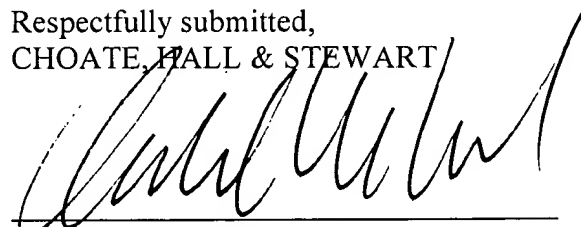
cited. A copy of the official action is enclosed. The relevance to the pending U.S. patent application is that the references were cited in a foreign patent application corresponding to the above-captioned U.S. patent application. However, no independent analysis of the references, the accuracy of the statement of the foreign examiner or the claims of the foreign application under the laws of that country or the United States relative to the subject matter claimed in the present application has been made; the present understanding of the contents thereof by the undersigned being based on the translation of the foreign examiner's comments submitted herewith.

The Examiner is respectfully requested to initial the space adjacent to each document on the PTO-1449 form and return a copy of the PTO-1449 form to confirm that these documents have been considered by the Examiner and made of record in this application.

Although we believe that we have appropriately provided for any fees due in connection with this submission, the Commissioner is authorized to credit any overpayment or charge any deficiencies to/from our **Deposit Account No. 031721**. Two originally-executed copies of this form are being submitted.

Should there be any questions after reviewing this paper, the Examiner is invited to contact the undersigned at (617) 248-4038.

Respectfully submitted,  
CHOATE, HALL & STEWART



Donald W. Muirhead  
Registration No. 33,978

February 9, 2004

Date

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Exchange Place  
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Boston, Massachusetts 02109

Form PTO-1449

## INFORMATION DISCLOSURE CITATION

Docket Number (Optional)

SHM-00901

Application No.

09/450,351

IN AN APPLICATION

(Use several sheets if necessary)

FEB 11 2004

Applicant Tomoe YAMAMOTO

Filing Date November 29, 1999

Group Art Unit 2812

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
AA						
AB						
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
AL	7-263574	10/13/1995	JP				X
AM	8-293494	11/05/1996	JP				X
AN							
AO							
AP							
AQ							

## OTHER DOCUMENTS

(Including author, Title, Date, Pertinent Pages)

AR	
AS	
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EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE